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Oscillation detection technique by using Vector Network Analyzer

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Abstract: A Vector Network Analyzer(VNA) can be used to identify oscillation frequency of a signal source with moderate or low Radio Frequency(RF) power if certain care is taken according to experimental results. Unlike reported in the literature that a resonant peak of measured absolute value of reflection coefficient greater than 1 that corresponds to an oscillation frequency, we report that by observing the magnitude change of one-port reflection coefficient across the entire swept frequency range, a sudden peak or a dip corresponds to an oscillation frequency, this is more accurate than other reports. In addition, using modern VNA as a signal detection method can significantly reduce measurement time and increase measurement accuracy to VNA capability for developing emerging signal generating devices at early stage, especially for planar, large quantity and operating in a wide frequency range.

Key words: Vector Network Analyzer; scattering parameters; oscillators; microwave and millimeter wave measurement

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1 Introduction

The VNA is a well-recognized sophisticated instrument for characterizing passive components such as waveguides, filters, combiners and active semiconductor devices such as diodes, transistors as well as circuits like oscillators and amplifiers^[1-2]. By measuring scattering parameters(S-parameters) of a Device-Under-Test(DUT) and extracting testing pads or parasitic circuits, parameters such as impedance, Voltage Standing Wave Ratio(VSWR), gain or loss and group delay etc. of the actual devices can be obtained^[3-4]. Such measurement can be carried out for one port or multi-port devices.

Special care must be taken when using VNA to measure the reflection coefficient of one-port active devices and therefore deriving their impedances^[5], because some active devices such as Resonant Tunnelling Diodes(RTDs)^[6-7] and impact ionisation and avalanche transit time(IMPATT) diodes^[8] have Negative Differential Resistance(NDR) or Negative Differential Conductance(NDC) in a wide frequency range and the small-signal measurement using VNA may cause devices to oscillate. On the other hand, active devices such as transferred electron devices(or Gunn devices) generate self-sustained oscillations^[9]. It is difficult to measure the impedances of such devices using VNA because they are usually embedded in a waveguide cavity that contains a disc resonator, a tuning slot, a heat sink and so on. These elements lead to difficulties in de-embedding the real parameters of Gunn devices from other circuits^[10-11]. However, it is relatively easier to obtain the impedance of planar type Gunn devices when they are fabricated using MMIC methods^[12-13].

Apart from deriving internal impedances of the active devices, the VNA, as will be discussed in detail later, can be used to identify oscillation frequency of a signal source or an oscillator^[14-15]. Traditionally, the method of measuring an oscillation frequency at microwave and millimetre wave frequency range is to use a spectrum analyzer that down-converts a Radio Frequency(RF) signal to an Intermediate Frequency(IF) signal by its internal or external mixers and using conventional analog method to calculate the frequency and amplitude information for the IF signal^[3-4]. A commercial stand-alone spectrum analyzer has a limited operating frequency range which can be extended using external mixers. Once external mixers are introduced, it becomes extremely difficult to identify a real signal out of many image and inter-modulating frequencies, which is not the case when the internal mixers are used because the spectrum analyzer

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normally has very well-controlled circuits to suppress those spurious signals, although many efforts such as signal identification functions have been made to improve the performance by manufacturers^[16-17]. In addition, modern signal source development has included many new features such as miniaturized planar structure, large quantity, moderate or low RF power and operating in a wide frequency range etc. that are challenging to the spectrum analyzer measurement method. Therefore an effective and efficient measurement technique is clearly required. From our experimental data and theoretical analysis, it is found that the VNA can be used to identify an oscillation frequency. Moreover, the VNA has also shown many advantages, especially for on-wafer measurement, over spectrum analyzer measurements as discussed below.

Firstly, VNA may have simpler measurement setup than spectrum analyzer. The latest VNA instrument has a single setup for frequencies from as low as 70 kHz to 110 GHz from Anritsu(ME7828A) or 10 MHz up to 110 GHz from Agilent(N5250C) and R&S(ZVA110). On the contrary, three setups are normally required for spectrum analyzer measurement setup to cover the same frequency range e.g. 3 Hz–50 GHz, 50 GHz–75 GHz and 75 GHz–110 GHz, because the spectrum analyzer measurement method is commonly band-limited due to the need for external waveguide mixers and probes. Fig.1 shows typical simplified block diagrams for

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on-wafer spectrum analyzer measurement setup and on-wafer VNA measurement setup. Fig.2 shows a typical W-band on-wafer spectrum analyzer measurement setup and a semi-automated DC(10 MHz)-110 GHz on-wafer VNA measurement setup. One can clearly see from both block diagram and experimental setup that for spectrum analyzer measurement the probe and mixer should be changed for different frequency band measurement for below 110 GHz. However, this is not necessary for the VNA measurement setup. A single measurement covers up to 110 GHz. In addition, it is common that Current-Voltage(IV) and Capacitance-Voltage(CV) measurements are needed for active DUTs, the semi-automated VNA setup can make both types of measurement along with frequency measurement without changing any setups but a semiconductor device analyzer such as B1500a from Agilent. This "all-in-one" setup can dramatically reduce the time needed for characterising devices. For frequencies above 110 GHz, both the spectrum analyzer and VNA measurement methods require at least two separate setups(140 GHz-220 GHz and 220 GHz-325 GHz).

Secondly, apart from having simple "all-in-one" measurement setup, VNA have another advantage over spectrum analyzer that is VNA can measure low power oscillator devices at high frequencies(>140 GHz). In that frequency range, spectrum analyzer measurement needs an external mixer which normally has very large conversion loss (e.g. >50 dB), if a signal has low RF power for example -40 dBm, it becomes difficult for the spectrum analyzer to detect the signal due to the limit of internal noise floor, dynamic range and minimum detectable power etc unless an amplifier is added immediately after the mixer. However, VNA may be capable of measuring such low level signal. The experimental results will be given in the following sections.



We first present a series of experimental results using VNA to measure oscillation frequency of different signal sources

that are described in Experiment section 1. Finally, some special considerations for properly using the VNA to identify oscillation frequencies are considered in section 2 "Discussion".

2 Experiments

An extensive theoretical discussion on the signal characteristics generated by the DUT and the reflected stimulus signal can be found elsewhere^[18-19]. It can be shown theoretically that in most cases the VNA can be used to identify an oscillation from the DUT by observing a sudden change(a peak or a dip) in the measured reflection coefficients of a DUT^[18]. In order to verify the feasibility of using VNA to identify the oscillation frequency, experiments have been carried out two different types of DUTs such as a commercial signal generator and planar Gunn oscillators. Special considerations such as output power of the signal generated by the DUTs, the number of sampling points and the IF bandwidth have also been investigated to achieve the best performance for using VNA to identify oscillation frequencies.

2.1 Commercial signal generators

A commercial signal generator(Agilent E8257D) was used to test the theory discussed in section 1 because it has well controlled output power level(-20 dBm-+15 dBm) and fine frequency resolution (0.001 Hz for continuous wave mode)^[19], which are very important in this experiment. The output port of the signal generator was connected to a 3 dB attenuator that has impedance of 50 Ω over its frequency range of operation(DC-50 GHz) to improve port matching of the signal generator. The VNA used for this experiment was Agilent E5071B with the output power of from the test port between -15 dBm and +10 dBm^[18].

Firstly, an experiment with different output power from the signal generator was carried out and the results are plotted in Fig.3. The output power of the signal source was varied from -15 dBm to +15 dBm in steps of 6 dB. Prior to the measurement, the VNA was calibrated with power of 0 dBm between 1 GHz and 2 GHz with 801 points by using Short-Open-Load method and the calibration standards used was 85052D 3.5 mm Economy Calibration Kit from Agilent.

One can see from (b) to (g) in Fig.3 that a peak appears at 1.5 GHz once the signal generator outputted a signal at 1.5 GHz compared with no peaks in Fig.3(a) where the signal generator output was not activated. In addition, the amplitude of the peaks(S_{11}) grows as the output signal power from the signal generator increases. The amplitude of the peaks corresponds to the power difference between the signal from the signal generator and the stimulus from the VNA. This can simply be written as the flowing equation

$$S_{11} = P_{\rm DUT} - P_{\rm VNA} \tag{1}$$

where P_{DUT} (dBm) is the signal power level of the DUT and P_{VNA} (dBm) is the power level of the VNA. Rearrange (1) and generalize P_{DUT} as P_{reflect} we can get

$$P_{\text{reflect}} = -S_{11} - P_{\text{VNA}} \tag{2}$$

Which can be used to calculate the reflected power P_{reflect} based on the measured S_{11} at other frequency point where no signal is generated by the DUT. Furthermore, unlike [14–15], the amplitude of the peaks can be smaller than 0 dB to indicate a signal unless there is peak observed. In this experiment, the power level of the signal from signal generator (from -18 dBm to 12 dBm) was higher than the power level of the reflected signal(less than -20 dBm because the VNA was calibrated with power level of 0 dBm and the measured S_{11} is less than 20 dB), therefore obvious peaks are expected to be observed as shown in Fig.3.

Secondly, power level P_{DUT} from the signal generator was fixed at a certain value, e.g. -18 dBm, -12 dBm, -6 dBm and 0 dBm, and the VNA was calibrated with different power level P_{VNA} , e.g. -15 dBm, -9 dBm, -3 dBm, 3 dBm and 9 dBm. Similar results have been found as long as S_{11} was far greater than -28 dB that is the measured S_{11} at 1.5 GHz while the signal generator did not output any signals. Exception happened when S_{11} is close to -28 dB, for instance $P_{\text{DUT}} = -18 \text{ dBm}$ and $P_{\text{VNA}} = 9$ dBm and $S_{11} = -27 \text{ dB}$ according to (1). In this case the phase difference between the reflected signal and the DUT generated signal plays a significant role in the total detected power at the VNA test port as shown in Fig.4. The measured S_{11} varies between -40 dB and -21dB. The results shown in Fig.4(a) and (b) indicate that the phase difference is within $(-\pi/2, \pi/2)$; on the other hand, Fig.4(c) and (d) indicate the phase difference is within



 $(\pi/2,3\pi/2)$. Thus, it is necessary to use low P_{VNA} in order to detect a low power signal, because lower P_{VNA} increases both S_{11} according to (1) and this makes it more easily distinguished from reflection coefficients at neighbouring points.

Fig.3 The measured reflection coefficients of a signal source when it was not activated (a), and activated and generated a signal at 1.5 GHz with output power from -15 dBm to +15 dBm (b)–(g). The VNA was calibrated with output power of 0 dBm in the frequency range between 1 GHz and 2 GHz. The number of sampling points was 801. A 3-dB attenuator was inserted between the VNA and the signal generator.

2.2 Planar Gunn diodes

Another type of signal source planar Gunn diodes^[13,20-21] has also been tested. This is an emerging type of transferred electron devices having a truly planar structure, which is amenable for on-wafer measurements. Since the planar Gunn

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rectangular waveguides^[21], there is no lower cut-off frequency for the CPW structures. This allows one to measure thefrequency response of devices with changing measurement setup at any frequency range in order to identify the fundamental-mode of oscillation. A planar Gunn diode was first tested using spectrum analyzer method from 3 Hz to 110 GHz with three different setups. They include a stand-alone spectrum analyzer covering from 3 Hz to 50 GHz and a probe (DC-65 GHz), a V-band(50 GHz-75 GHz) mixer with the spectrum analyzer as well as a V-band probe, and a W-band probe, W-band mixer and the spectrum analyzer. The same device was also tested by VNA(10 MHz-110 GHz) and both results are shown in Fig.5 for comparisons.



Fig.4 Measured reflection coefficients of the signal source with output power of -18 dBm. The VNA was calibrated with output power of 9 dBm.

One can see that the results from both measurement methods show same oscillation at 106 GHz in Fig.5. Apart from this, the VNA measurement results show another piece of important information that the 106 GHz signal is the fundamental oscillation frequency of this DUT, because the single sweep shows only one peak at 106 GHz and no peaks or dips are seen at any other frequency range. This was validated using three different setups based on the spectrum analyzer. The same results are obtained. No oscillation tones below 106 GHz were observed. To identify the fundamental oscillation frequency of an emerging source is extremely important, because this will establish the advantages of the new technology. Importantly the VNA method has one single setup and one single sweep that measures what three setups of spectrum analyzer measurement can do. This is one of the main advantages of using a VNA over a spectrum analyzer for detecting millimetre-wave oscillation frequencies.



Fig.5 A planar Gunn diode was tested by using spectrum analyzer method and VNA method. The spectrum analyzer method used a spectrum analyzer, a diplexer, a W-band mixer and a W-band probe. The VNA has 401 sampling points between 10 MHz and 110 GHz.

2.3 Low power high frequency active devices

At high frequency range, the common spectrum analyzer and external mixer setup has difficulties to detect low power signals due to the high conversion loss of the mixer. In some cases the conversion loss is greater than 50 dB at frequencies above 100 GHz. Therefore low noise amplifiers are usually attached to the mixers for improving their performance. However, it is still very challenging when amplifiers are not included. An experiment was carried out at high frequency(>100 GHz). The DUT was a planar Gunn diode which had a fundamental oscillation at 92 GHz that has been

confirmed by using both spectrum analyzer method and VNA method. As most Gunn diodes exhibit non-sinusoidal oscillation, it means higher harmonic oscillations exist. Therefore we expect to see the second harmonic oscillation of this device at near 184 GHz by using spectrum analyzer measurement method. However, due to the high conversion loss of the mixer, we were not able to see any oscillation on the spectrum analyzer. On the contrary, the second harmonic oscillation at 183 GHz was detected by the VNA system as shown in the Fig.6. The results show a fundamental oscillation at 92 GHz and its second harmonic at 183 GHz. The fundamental oscillation frequency was



Fig.6 Another planar Gunn diode was tested by using VNA method (10 MHz-110 GHz and 140 GHz-220 GHz).

detected by spectrum analyzer method. But the second harmonic oscillation was not detected due to the high conversion loss of the G-band mixer used. The number of sampling points was set to be 401 for both frequency ranges.

The slight frequency drift comes from the bias voltage difference for the two VNA systems(10 MHz-110 GHz and 140 GHz-220 GHz) used. This result shows that VNA has great potential as a future high frequency low power measurement methodology compared to conventional spectrum analyzer measurement setups.

3 Discussion

It has been experimentally demonstrated that a VNA can be used to identify oscillation frequencies of one-port active devices by observing the changes in reflection coefficient. Still there are some special considerations in order to use this technique effectively and correctly.

3.1 Sampling points and phase noise of the signal

The characteristics of the signal generated by DUTs determine the number of sampling points needed in the sweep for VNA measurement. If the signal has a high phase noise, it shows a wide bandwidth in frequency domain. This means that a lower number of sampling points are needed within the frequency band for test. On the other hand, if a signal has a relatively "clean" spectrum, a large number of sampling points are required in order not to omit any frequency components. However the setup of IF bandwidth may compensate as will be discussed in 2.3.

3.2 Power level of active DUTs

It has been found, it is desirable that the sum of output power from DUTs and the stimulus does not exceed the input power limit of the VNA test ports(e.g. +30 dBm for VNA N5020C). Otherwise, phase lock will be lost or, in the worst case, the test ports can be damaged.

3.3 IF bandwidth

The bandwidth of IF filter determines accuracy of measurement results and speed of measurement. The wider the IF bandwidth, the faster the VNA can sweep and the poorer the accuracy. It is desirable to set the IF bandwidth to a smaller value e.g. 50 Hz in VNA's regular application, such as S-parameter measurement on passive devices, because the narrow IF bandwidth leads to accurate measurement. However, in application of identifying oscillation frequencies the IF bandwidth of VNA is recommended to be larger e.g. 50 kHz. This is because firstly large IF bandwidth makes the

measurement faster; secondly, the large IF bandwidth ensures the signal generated by the DUT is within the two sampling points. This reduces the possibility of omitting the DUT generated signal; and finally, large IF bandwidth leads to more accurate power level measurement.

Finally based on our experimental results, we anticipate that future VNA would include an option to detect signals from the DUT and replace the requirement of a spectrum analyser as a separate tool.

4 Conclusion

In conclusion, VNA application has been investigated to experimentally prove this instrument as an effective and efficient tool to accurately identify the oscillation frequency of one-port RF signal sources by observing a sudden change of magnitude of reflection coefficient. This technique will benefit modern signal source development, which has many new features such as miniaturized planar structure, large quantity, moderate or low RF power and operating in a wide frequency range etc.

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